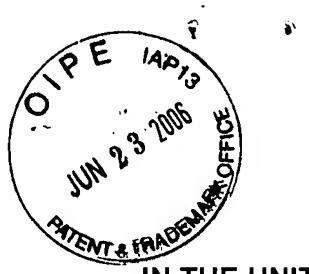
18

PATENT Serial No: 10/532,119 Docket No: 12763-48401



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CIRCUIT SUBSTRATE AND METHOD

Inventors

Kimmo Puhakka et al.

Serial No

10/532,119

Filed

A "I 04 000

For:

April 21, 2005

Group Art Unit

Unassigned

Confirmation No: 3933

Examiner

Unassigned

Customer No.

23838

# RENEWED PETITION TO ACCEPT DECLARATION UNDER 37 CFR 1.47(a) AND RESPONSE TO NOTICE TO FILE MISSING PARTS

Mailstop - PCT
Commissioner For Patents
Office of PCT Legal Administration
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:



On April 5, 2006 a Petition was filed under 37 CFR 1.147(a) to accept the declaration signed by one inventor on behalf of both inventors. The Declaration failed to list all of the inventors, and the petition failed to state the last known address of the nonsigning inventor, but otherwise met the requirements of 37 CFR 1.47(a). It is submitted that this renewed petition corrects these deficiencies.

Applicant Kimmo Puhakka hereby files this renewed petition for acceptance of the attached declaration under 37 CFR 1.47(a)on behalf of himself and joint inventor lain Benson, who refuses to sign.

**PATENT** Serial No: 10/532,119

Docket No: 12763-48401

Attached hereto is the Notice to File Missing Parts, a declaration signed by Kimmo Puhakka, a Statement of Facts By Heather McCann, and a Petition For Extension of Time.

The Statement of Facts By Heather McCann indicates that Ms. McCann sent a letter to Mr. Benson (attached to the Statement) forwarding the application papers (for this application and related application 10/532,118) including the specification, claims drawings, an assignment and a declaration, and that Mr. Benson refused to sign the declaration. The papers were sent to Mr. Benson's last known address of:

Mr. Iain Benson 170B Reading Road South Church Crookham Surrey **GU52 6AR** 

It is believed that this petition fulfills all requirements of 37 CFR 1.47(a), and that no additional fees are due. Should there be any questions concerning this matter, please contact the undersigned.

The Office is hereby authorized to charge any fees for this petition, and any fees due under 37 C.F.R. § 1.16, § 1.17, or § 1.136, or credit any overpayment to Deposit Account No. 11-0600.

Respectfully submitted,

Dated: June 23, 2006

David J. Zibel(i Registration No. 36,394

**KENYON & KENYON LLP** 1500 K Street, N.W.- Suite 700 Washington, DC 20005-1257

Tel: Fax: (202) 220-4200 (202) 220-4201

617350

PATENT Serial No: 10/532,119 Docket No: 12763-48401

Attached hereto is the Notice to File Missing Parts, a declaration signed by Kimmo Puhakka, a Statement of Facts By Heather McCann, and a Petition For Extension of Time.

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Mr. Iain Benson
170B Reading Road South
Church Crookham
Surrey
GU52 6AR

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Respectfully submitted,

Dated: June 23, 2006

David J. Zibel

Registration No. 36,394

KENYON & KENYON LLP 1500 K Street, N.W.- Suite 700 Washington, DC 20005-1257

Tel: Fax: (202) 220-4200 (202) 220-4201

617350

10/25/2002



#### United States Patent and Trademark Office

P42102 USW

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450

Alexandria, Vinginia 22313-1450 www.uspto.gov

10/27/2003

U.S. APPLICATION NUMBER NO. FIRST NAMED APPLICANT ATTY. DOCKET NO.

10/532,119 Kimmo Puhakka 12763/48401

INTERNATIONAL APPLICATION NO.

PCT/GB03/04635

I.A. FILING DATE PRIORITY DATE

23838
KENYON & KENYON
1500 K STREET NW
SUITE 700
WASHINGTON, DC 20005

CONFIRMATION NO. 3933 371 FORMALITIES LETTER \*OC000000016913101\*

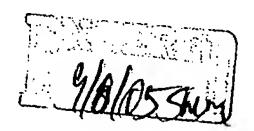
\*OC000000016913101\*

Date Mailed: 09/06/2005

# NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/21/2005
- Copy of the International Search Report filed on 04/21/2005
- Preliminary Amendments filed on 04/21/2005
- Information Disclosure Statements filed on 04/21/2005
- Request for Immediate Examination filed on 04/21/2005
- U.S. Basic National Fees filed on 04/21/2005
- Priority Documents filed on 04/21/2005



The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

PAULETTE R KIDWELL

Telephone: (703) 308-9140 EXT 216

#### PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/532,119	PCT/GB03/04635	12763/48401

FORM PCT/DO/EO/905 (371 Formalities Notice)

Serial No: 10/532,119

Docket No: 12763/48401

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/532,119

**Applicant** 

: Kimmo Puhakka et al.

**Confirmation No.:** 

Filed

: April 21, 2005

TC/Art Unit

**Examiner:** 

Docket No.

: 12763/48401

Customer No.

: 23838

Title: Formation Of Contacts On Semiconductor Substrates

COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, VA 22313-1450

#### STATEMENT OF FACTS BY HEATHER McCANN

SIR:

- I, Heather McCann, residing at 19 Ember Gardens, Thames Ditton, KT7 0LL, Surrey, United Kingdom, make this statement in support of the Response to Notice of Missing Requirements and Petition For Acceptance of Application Where One Joint Inventor Refuses To Sign, submitted on even date herewith. This statement is made as to the exact facts that are relied upon to establish the refusal of the joint inventor to sign a declaration of patent application Serial No.10/532,119, filed on April 21, 2005.
- I am an attorney with the law firm of Electronic Intellectual Property (EIP), located at Fairfax House, 15 Fulwood Place, London, WC1V 6HU.
- 2. On March 17, 2006, I sent a letter to Mr. Iain Benson. The letter included the application papers including the specification, claims, and drawings, an assignment, and a Declaration. A copy of the March 17, 2006 letter is attached.

Serial No: 10/532,119

Docket No: 12763/48401

3. On March 23, 2006, I telephoned Mr. lain Benson. Mr. Benson indicated that he would not sign the declarations unless he was paid 3,000 euros.

28703	106	HRU CO

Date

Heather McCann



### Chartered and European Patent Attorneys

17 March 2006

Our Refs:

E033.USw and E034.USw

Mr Iain Benson 170B Reading Road South Church Crookham Surrey GU52 6AR

BY COURIER

Dear Mr Benson,

### United States Patent Application Nos. 10/532,118 and 10/532,119 In the name of Goldpower Limited

I am writing in connection with the above-referenced patent applications, specifically following up on our recent correspondence in relation to the requirement in the US for inventors to execute certain documents as part of the patent procedure.

Further to our letters to you dated 2 November 2005 and your telephone conversation with Matthew Lawman on 3 January 2006, in which you indicated that you had received the letters and accompanying documents for signature, we are re-sending copies of the letters, the declarations and the full patent specifications for your immediate attention and would ask that you kindly execute the declaration and assignment documents and return them to me in the postage-paid and addressed envelope.

This matter is now urgent and we need to receive the signed declarations no later than by Wednesday 22<sup>nd</sup> March (next week). We hope that you are able to co-operate with us on this matter; please do not hesitate to contact me or Matthew Lawman should you have any queries in relation to this matter.

Yours sincerely,

Heather McCann

EIP

23 Feb 20°0

PTO/SB/01 (10-05)

Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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	DECLARATIO	N FOR UTILITY OR	Attorney Docket Number 12763/48401						
	<del></del> .	ESIGN	First Named Inventor	First Named Inventor PUHAKKA					
	PATENT APPLICATION		COMPLETE IF KNOWN						
	(37 (	CFR 1.63)	Application Number	10/532,119					
	Declaration Submitted OR With Initial Filing	Declaration Submitted after Initial	Filing Date	,					
		Filing (surcharge	Art Unit						
•		(37 CFR 1.16 (e)) required)	Examiner Name						

	7040.00					·		
I hereby declare that:								
Each inventor's residence, mailing address, and citizenship are as stated below next to their name.								
l believe the inventor(s) named below to be the original and first inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled:								
CIRCUIT SUBSTRATE AND METHOD								
		(Title of the	e Invention)		<del></del>			
the specification of which		•	·					
is attached hereto							·	
OR								
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I acknowledge the duty to dis	sclose informat	ion which is mat	terial to pate	entability as d	efined in	37 CFR 1.9	56, including for	
continuation-in-part application and the national or PCT international					the filing	date of the	prior application	
I hereby claim foreign priority	benefits unde	r 35 U.S.C. 119(	a)-(d) or (f),	or 365(b) of	any fore	ign applicati	on(s) for patent,	
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[Page 1 of 2]

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

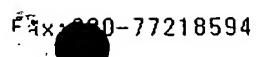
If you need assistance completing the form, call 1-800-PTO-9199 and select option 2.

Country

FINLAND

07140

supplemental sheet(s) PTO/SB/02A or 02LR attached hereto.



23 Feb 2005

PTO/SB/01 (10-05) Approved for use through 07/31/2006. OMB 0651-0032 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. **DECLARATION** — Utility or Design Patent Application Correspondence OR Direct all The address address below associated with correspondence to: Customer Number: Name Address ZIP State City Email Telephone Country **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle [if any]) **PUHAKKA** KIMMO Date Inventor's Signature x 71.17 02 Citizenship Residence: City Country State **FINNISH** FSPas FINLAND Mailing Address

State

×

Additional inventors or a legal representative are being named on the

820

PTO/SB/01 (04-05)

Approved for use through 07/31/2006, OMB 0651-0032 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

12763/48401

PUHAKKA

COMPLETE IF KNOWN

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Attorney Docket

Number

First Named Inventor

**DECLARATION FOR UTILITY OR** 

**DESIGN** 

PATENT APPLICATION

(37 CFR 1.63)

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Declaration Submitted OR With Initial	✓ Declaration		Filing Date				
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hereby declare that:							
Each inventor's residence, maili	ng address, and	d citizenship are	as stated belo	ow next to th	neir name.		
believe the inventor(s) named which a patent is sought on the	below to be the invention entitle	original and first	inventor(s) o	f the subject	matter wh	ich is claime	ed and for
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the specification of which		•					
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<u> </u>	2003/004635	and was amend	•				(if applicable).
I hereby state that I have review amended by any amendment s			s of the abov	e identified :	specificatio	on, including	the claims, as
1 acknowledge the duty to dis	sclose informati	ion which is mat	terial to nate	ntahility as	defined in	37 CFR 1	56 including for
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Prior Foreign Application	Country	Foreign Fili	_	Prior	-		Copy Attached?
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FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 21 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED

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#### **DECLARATION** — Utility or Design Patent Application OR Correspondence The address Direct all address below associated with correspondence to: Customer Number: Name Address ZIP State City Email Telephone Country **WARNING:** Petitioner/applicant is cautioned to avoid submitting personal information in documents filed in a patent application that may contribute to identity theft. Personal information such as social security numbers, bank account numbers, or credit card numbers (other than a check or credit card authorization form PTO-2038 submitted for payment purposes) is never required by the USPTO to support a petition or an application. If this type of personal information is included in documents submitted to the USPTO, petitioners/applicants should consider redacting such personal information from the documents before submitting them to the USPTO. Petitioner/applicant is advised that the record of a patent application is available to the public after publication of the application (unless a non-publication request in compliance with 37 CFR 1.213(a) is made in the application) or issuance of a patent. Furthermore, the record from an abandoned application may also be available to the public if the application is referenced in a published application or an issued patent (see 37 CFR 1.14). Checks and credit card authorization forms PTO-2038 submitted for payment purposes are not retained in the application file and therefore are not publicly available. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 U.S.C. 1001 and that such willful false statements may jeopardize the validity of the application or any patent issued thereon. NAME OF SOLE OR FIRST INVENTOR: A petition has been filed for this unsigned inventor Family Name or Surname Given Name (first and middle [if any]) **PUHAKKA** KIMMO Date Inventor's Signature × 71.11 02 Citizenship Residence: City Country State FINNISH FIPOS FINLAND Mailing Address Country State 07140 1820 × supplemental sheet(s) PTO/SB/02A or 02LR attached hereto. Additional inventors or a legal representative are being named on the

PTO/SB/01 (04-05)

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12763/48401

PUHAKKA

COMPLETE IF KNOWN

10/532,119

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Attorney Docket

Number

First Named Inventor

**Application Number** 

**DECLARATION FOR UTILITY OR** 

**DESIGN** 

PATENT APPLICATION

(37 CFR 1.63)

Declaration Submitted OR	✓ Declaration		Filing Date				ļ
With Initial	Filing (sure		Art Unit				
Filing	(37 CFR 1 required)	.16 (e))	Examiner Nan	ne ,			
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Each inventor's residence, mailing	ng address, and	d citizenship are a	as stated belov	v next to th	eir name.		
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I hereby state that I have review amended by any amendment s			s of the above	identified s	specification	on, including	the claims, as
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I acknowledge the duty to dis continuation-in-part application	sclose informati is, material info	ion which is mat ormation which be	erial to patent ecame availab	tability as Ie betweer	defined in n the filina	37 CFR 1. date of the	56, including for prior application
and the national or PCT internation	ational filing dat	e of the continual	lion-in-part app	plication.			
I hereby claim foreign priority inventor's or plant breeder's ri	benefits under lahts certificate	r 35 U.S.C. 119( 's). or 365(a) of a	a)-(d) or (f), o nov PCT interr	or 365(b) o national an	of any fore plication w	ign applicati hich design:	on(s) for patent,
country other than the United	States of Ameri	ica, listed below a	and have also	identified t	below, by	checking the	box, any foreign
application for patent, inventor before that of the application of			cate(s), or any	PC1 inter	mational a	pplication ha	iving a filing date
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Additional foreign ap	pplication numb	ers are listed on a	supplementa	I priority da	ata sheet F	TO/SB/02B	attached hereto.

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[Page 1 of 2]

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## **DECLARATION** — Utility or Design Patent Application

Direct all correspondence to:	The address associated with Customer Number:			OR		Correspondence address below		
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Given Name (first and r	niddle [if any])		F	amily Name or	Surnar	ne		
IAN			В	BENSON				
Inventor's Signature						Date ×		
Residence: City	State		Country		Citizen	ship		
Mailing Address			UK		BRITISH	l		
170B READING ROAD SC	OUTH	•						
City	State		Zip			Country		
CHURCH CROOKHAM	SURREY		GU52	6AR		UK		
NAME OF SECOND II	NVENTOR:		Ap	etition has bee	n filed f	or this unsigned inventor		
Given Name (first and	middle [if any])			Family Name or Surname				
Inventor's Signature		· ·				Date		
Residence: City	State		Country Citi			nship		
Mailing Address			<u></u>			······································		
City	State	<u> </u>	Zip		Count	try		
Additional inventors	or a legal representative are be	eing named on the	supplemental sh	eet(s) PTO/SB/02A	or 02LR	attached hereto.		
•	•							